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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0001/PTO Rev. 10/95 TRANSMITTAL FORM <i>(to be used for all correspondence during pendency of filed application)</i>	U.S. Department of Commerce Patent and Trademark Office	Application Number	09/912,030
		Filing Date	July 23, 2001
		First Named Inventor	Michael E. Orshansky
		Group Art Unit Number	2812
		Examiner Name	Unknown
Total Number of Pages in This Submission 7		Attorney Docket Number	22272-6093

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ENCLOSURES (check all that apply)	
<input type="checkbox"/> Fee Transmittal Form (in duplicate) <input type="checkbox"/> Check Enclosed	<input type="checkbox"/> Issue Fee Transmittal
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<input type="checkbox"/> Response to Notice to File Missing Parts	<input type="checkbox"/> Formal Drawing(s): [] Sheet(s) of Figure(s) []
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<input type="checkbox"/> Declaration	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
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<input type="checkbox"/> Application Data Sheet	<input type="checkbox"/> After Allowance Communication to Group
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SIGNATURE OF ATTORNEY OR AGENT			
Signature:			
Attorney/Reg. No.:	Michael W. Farn / Reg. No. 41,015	Dated:	Nov. 8, 2001

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IN THE UNITED STATES

PATENT AND TRADEMARK OFFICE

APPLICANTS: Michael E. Orshansky and Klaus ten Hagen
APPLICATION NO.: 09/912,030
FILING DATE: July 23, 2001
TITLE: Prediction Based Optimization of a Semiconductor Supply Chain
Using an Adaptive Real Time Work-In-Progress Tracking System
EXAMINER: Unknown
GROUP ART UNIT: 2812
ATTY. DKT. NO.: 22272-06093

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REQUEST FOR CORRECTED FILING RECEIPT

SIR:

Enclosed is a copy of the Official Filing Receipt. It contains the following error:

1. The attorneys' docket number is erroneously stated as 22272-060935. The correct 22272-06093, as evidenced by the original Filing Receipt and the New Utility Patent Application Transmittal, copies of which are attached thereto.

A copy of the Filing Receipt with the correction marked in blue is also attached.

Please issue a corrected Filing Receipt rectifying this error.

Respectfully submitted,
MICHAEL E. ORSHANSKY AND
KLAUS TEN HAGEN

Dated: NOV. 8, 2001

By: Michael W. Farn
Michael W. Farn, Reg. No.: 41,105
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/912,030	07/23/2001	2812	355	22272-06093	19 ✓	1 ✓	1 ✓

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CONFIRMATION NO. 5129

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Applicant(s)

Michael E. Orshansky, Oakland, CA;
Klaus ten Hagen, Sunnyvale, CA;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 8/31/2001

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✓ **Projected Publication Date:** Request for Non-Publication Acknowledged

✓ **Non-Publication Request:** Yes

Early Publication Request: No

✓ **** SMALL ENTITY ****

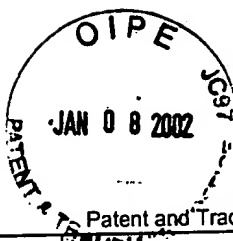
Title

Prediction based optimization of a semiconductor supply chain using an adaptive real time work-in-progress tracking system

Preliminary Class

438

Please type a plus sign (+) inside this box →



PTO/SB/05 (08-00) (modified)

Approved for use through 9/30/2001, OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

+

NEW UTILITY PATENT APPLICATION TRANSMITTAL

(only for new nonprovisional applications under

37 CFR 1.53(b))

Attorney Docket Number

22272-06093

First Named Inventor

Michael E. Orshansky

Title

Prediction Based Optimization of a
Semiconductor Supply Chain Using an
Adaptive Real Time Work-in-Progress
Tracking System

Express Mail Label No.

EL482472518US

APPLICATION ELEMENTS

1. ☒ Fee Transmittal Form (in duplicate)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27
3. ☒ Specification Total Pages 38
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross Reference(s) to Related Case(s)
 - Statement Regarding Fed sponsored R & D
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawing(s)
 - Detailed Description
 - Claim or Claims
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets 19
5. Oath or Declaration
 - a. ☒ New Declaration Total Pages 1
☐ Executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
9. ☒ Power of Attorney or Authorization of Agent
10. ☐ 37 CFR 3.73(b) Statement
11. ☐ Preliminary Amendment
12. ☐ Information Disclosure Statement & PTO-1449
☐ Copies of IDS Citation(s)
13. ☒ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form
PTO/SB/35 or its equivalent
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18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: ____/____

Prior application information: Examiner: _____ Group/Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuing or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

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Name (Print/Type)	Laura A. Majerus	Registration No. (Attorney/Agent)	33,417
Signature	<i>Laura Majerus</i>	Date	7/23/01



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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/912,030	07/23/2001	2812	420	22272-060935	19	1	1

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CONFIRMATION NO. 5129

UPDATED FILING RECEIPT



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Date Mailed: 10/30/2001

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Applicant(s)

Michael E. Orshansky, Oakland, CA;
Klaus ten Hagen, Sunnyvale, CA;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 08/31/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

** SMALL ENTITY **

Title

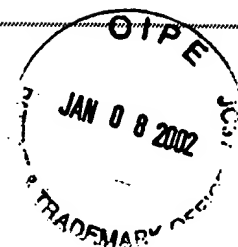
Prediction based optimization of a semiconductor supply chain using an adaptive real time work-in-progress tracking system

Preliminary Class

438

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Title 37, Code of Federal Regulations, 5.11 & 5.15**



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Bib Data Sheet

CONFIRMATION NO. 5129

SERIAL NUMBER 09/912,030	FILING DATE 07/23/2001 RULE	CLASS 438	GROUP ART UNIT 2812	ATTORNEY DOCKET NO. 22272-06093
APPLICANTS Michael E. Orshansky, Oakland, CA; Klaus ten Hagen, Sunnyvale, CA;				
** CONTINUING DATA *****				
** FOREIGN APPLICATIONS *****				
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 08/31/2001				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged _____ Examiner's Signature _____ Initials _____		STATE OR COUNTRY CA	SHEETS DRAWING 19	TOTAL CLAIMS 1
INDEPENDENT CLAIMS 1				
ADDRESS 00758				
TITLE Prediction based optimization of a semiconductor supply chain using an adaptive real time work-in -progress tracking system				
FILING FEE RECEIVED 420	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	